



CLIENT REGISTRATION MANDATORY KIT

BROKING & DEMAT SERVICES

CLIENT NAME :	
TRADING CLIENT CODE:	
BRANCH :	DEALER CODE :



Securities Private Limited

#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Tel.: +022-46127200/2708 | Fax : 022-46127203 Website : www.grovalue.in | Email : contact@grovalue.in

SEBI Registration No. : INZ000000430

NSE Membership No. : 90038

BSE Membership No. : 6577

COMPLIANCE OFFICER	Director & CEO Details
NAME : Mr. Karan R Shah	NAME : Mr. Karan R Shah
Phone Number : 022 46127220	Phone Number : 022 46127220
Email id : compliance@grovalue.in	Email id : karan@grovalue.in

Dear Customer,

Welcome to Grovalue Securities Pvt. Ltd.

We look forward to you becoming our client and to the pleasure of serving you. To start with, we request you to complete the relevant forms, the member client agreement and submit the required documents.

We have designed the form for the ease of completion with simple instructions at relevant place to guide you along.

Should you face any difficulty in understanding the requirements, please do contact our staff who will be willing to assist / guide you.

GROVALUE SECURITIES PVT LTD

CIN NO.: U65910WB2014PTC202215

Corporate Office: #77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Exchange	Segment	SEBI Registration No.	Member Code	Date of Registration
BSE	CASH/FO/CD	INZ 000000430	6577	13 th November, 2014
NSE	CASH/FO/CD	INZ 000000430	90038	13 th November, 2014
CDSL	Depository Participant	IN-DP-CDSL-194-2016	82700	22 nd Jan, 2016

**Clearing Member for NSE & BSE (FO & CD)
Segment:**

IL &FS Securities Services Ltd.
IL&FS House, Plot No.14, Raheja Vihar, Chandivali,
Andheri (E), Mumbai - 400072.
Tel.: 022-42493000 Website : www.ilfsdp.com

For any grievances / dispute please contact **GROVALUE SECURITIES PVT LTD**
at the above address or email id : **grievances@grovalue.in** and phone no. **022-46127200**
In case not satisfied with the response, please contact the concerned Exchange(s)/SEBI at below given.
Email Id and Phone No.:

Exchange	Email ID	Phone No.
Bombay Stock Exchange Ltd. (BSE)	is@bseindia.com	022-22728138
National Stock Exchange of India Ltd. (NSE)	ignse@nse.co.in	022-26598190
Central Depository Services (India) Ltd. (CDSL)	complaints@cdslindia.com	022-22723333
SEBI Scores Platform		

You can also lodge your grievances with SEBI at [http:// scores.gov.in](http://scores.gov.in) for any queries feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 227575 / 18002667575.

DISCLOSURE INFORMATION

(Disclosure to Proprietary Trading pursuant to SEBI Circular No. SEBI/MRD/SE/Cir-42/2003 dated Nov. 19, 2003)

Dear Client,

This is to inform you that we do client based trading and undertake Pro account trading business on the Exchanges, we are registered as member.

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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

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**Know Your Client (KYC)
Application Form (For Individuals Only)**



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth

3. Nationality Indian Other _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any: _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

(Please see guideline 'D' overleaf)

B. Address Details (please see guidelines overleaf)

1. Address for Residence/Correspondence

City / Town / Village _____ Pin Code _____
State _____ Country _____

2. Contact Details

Tel. (Off.) (ISD) (STD) _____ Tel. (Res.) (ISD) (STD) _____
Mobile (ISD) (STD) _____ Fax (ISD) (STD) _____
E-Mail Id. _____

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify) _____
*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village _____ Pin Code _____
State _____ Country _____

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify) _____
*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

6. Any other information: _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____ Date: _____

SIGNATURE OF APPLICANT

FOR OFFICE USE ONLY

IPV Done on

AMC/Intermediary name OR code _____

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary _____

Seal/Stamp of the intermediary should contain
Staff Name _____
Designation _____
Name of the Organization _____
Signature _____
Date _____

Seal/Stamp of the intermediary should contain
Staff Name _____
Designation _____
Name of the Organization _____
Signature _____
Date _____

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals ,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/ Marksheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/ Insurance Copy/Unique Identification Number (U ID) (Aadhaar).

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self - declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following:
Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

Exemptions/clarifications to PAN

D. (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/-p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Cooperative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual



Important Instructions:

- A) Fields marked with * are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only (To be filled by financial institution)

Application Type New Update

KYC Number (Mandatory for KYC update request)

Account Type Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID Proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				
Date of Birth*				
Gender*	<input type="checkbox"/> M Male	<input type="checkbox"/> F -Female	<input type="checkbox"/> T Transgender	<div style="border: 1px solid black; padding: 5px;"> <p style="text-align: center;">PHOTO</p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div> </div>
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
Occupation type*	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector)	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student	
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> B-Business <input type="checkbox"/> X- Not Categorised			

2. TICK IF APPLICABLE (RESIDENCE FOR TAX PURPOSES IN JURISDICTIONS) OUTSIDE INDIA (Please refer instruction A at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C- PAN Card	<input type="text"/>		
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E- UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see Instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential/Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)

Voter Identity Card NREGA Job Card Others

Simplified Measures Account - Document Type code

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* City / Town / Village* ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS* (Please see instruction E at the end)

Same as Current/ Permanent / Overseas Address details (In case of multiple Correspondence / Local Address details, please fill 'Annexure A1')

Line 1*

Line 2

Line3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current/ Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line3 City / Town / Village*

District* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile No. / Email-ID) (Please refer Instruction F at the end)

Tel. (Off) - Tel.(Res.) - Mobile

FAX - Email ID

6. DETAILS OF RELATED PERSON (In case refer additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date

B- Voter ID Card

C- PAN Card

D- Driving Licence Driving Licence Expiry Date

E- UID (Aadhaar)

F- NREGA Job Card


Z- Others (any document notified by the central government)

S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

* I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : Place : (3)  Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY		INSTITUTION DETAILS	
Date	<input type="text"/>	Name	<input type="text"/>
Emp. Name	<input type="text"/>	Code	<input type="text"/>
Emp. Code	<input type="text"/>		
Emp. Designation	<input type="text"/>		
Emp. Branch	<input type="text"/>		
	<input type="text"/>		



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS with black ink

A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

- Name of Applicant
- Date of Incorporation Place of Incorporation
- Date of commencement of business
- a. PAN b. Registration No.(e.g. CIN)
- Status (Please tick any one (✓) Private Limited Co. Public Ltd. Co. Body Corporate Trust
 Partnership Charities NGO's FI FII HUF AOP Government Body
 Bank Non-Government Organization Defense Establishment BOI Society LLP
 Others (please specify) _____

B. ADDRESS DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

- Address for Correspondence

 City/Town/Village Pin Code
 State Country
- Contact Details: Tel (Off.) Tel (Res.)
 Mobile No. Fax
 Email id
- Specify the proof of address submitted for Correspondence address: _____
- Registered Address (if different from above)

 City/Town/Village Pin Code
 State Country

C. OTHER DETAILS

- Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:
- a) DIN of whole time directors
 b) Aadhaar number of Promoters/Partners/Karta
 (Please fill in the details as provided in Annexure)

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue misleading or misrepresenting, I am/we are aware that I/wemay be held liable for it.

Date:

Name & Signature of the Authorised Signatory

FOR OFFICE USE ONLY

AMC / Intermediary name OR code

- (Originals Verified) Self Certified Document copies
 (Attested) True copies of document received

Seal/Stamp of the intermediary Should contain
 Staff Name and Designation
 Name & Signature of the Organization
 Signature & Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PI Card/OC Card and overseas address proofs is mandatory.
8. For foreign entities CIN is optional; and in the absence of DIN no, for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) we defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specification exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID)/Aadhaar Passport/Voter ID card/Driving license.
3. Identity card/ document with applicants Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address; Documents having an expiry date should be valid on the date of submission).

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill-Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FI/sub account, Power of Attorney given by FI/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions / clarification to PAN (Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
 3. UN entities/multilateral agencies exempt from paying taxes filing tax returns in India.
 4. SIP of Mutual Funds upto Rs. 50,000/-p.a.
 5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> <input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). <input type="checkbox"/> Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. <input type="checkbox"/> Photograph, POI, POA, PAN of individual promoters holding control- either directly or indirectly. <input type="checkbox"/> Copies of the Memorandum and Articles of Association and certificate of incorporation. <input type="checkbox"/> Copy of the Board Resolution for investment in securities market. <input type="checkbox"/> Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> <input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered partnership firms only). <input type="checkbox"/> Copy of partnership deed. <input type="checkbox"/> Authorised signatories list with specimen signatures. <input type="checkbox"/> Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> <input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered trust only). <input type="checkbox"/> Copy of Trust deed. <input type="checkbox"/> List of trustees certified by managing trustees/CA. <input type="checkbox"/> Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> <input type="checkbox"/> PAN of HUF. <input type="checkbox"/> Deed of declaration of HUF/List of coparceners. <input type="checkbox"/> Bank pass-book/ Maric statement in the name of H U F. <input type="checkbox"/> Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> <input type="checkbox"/> Proof of Existence/Constitution document. <input type="checkbox"/> Resolution of the managing body S Power of Attorney granted to transact business on its behalf. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Banks/Institutional Investor	<ul style="list-style-type: none"> <input type="checkbox"/> Copy of the constitution/ registration or annual report./balance sheet for the last 2 financial years. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> <input type="checkbox"/> Copy of SEBI registration certificate. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> <input type="checkbox"/> Self-certification on letterhead. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> <input type="checkbox"/> Copy of Registration Certificate under Societies Registration Act. <input type="checkbox"/> List of Managing Committee members. <input type="checkbox"/> Committee resolution for persons authorized to act as authorised signatories with specimen signatures. <input type="checkbox"/> True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

TRADING ACCOUNT RELATED DETAILS

D. OTHER DETAILS

1. **Gross Annual Income Details (Please tick () :** _____

Income Range per annum:

- Below Rs. 1Lac Rs.1 - 5 Lacs Rs. 5 - 10 Lacs Rs.10 - 25 Lacs
 Rs.25 - 50 Lacs Rs. 50 Lacs - 1 Cr. Above Rs. 1 Cr.

or /and #

Net-worth as on (date) (Rs. _____) (Net worth should not be older than 1 year
 (# for 'Individual' - Gross annual income details or net worth details required)

(# for 'Non Individual' - Gross annual income details and net worth details required)

2. **Occupation (please tick any one and give brief details) :**

- Private Sector Public Sector Government Service Business Professional Agriculturist
 Retired Housewife Student Others (please specify) _____

3. **Please tick, if applicable:**

- Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) NO

4. **NRI Details (Individual Only):**

Account to be opened:	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable
RBI Approval reference No:			RBI approval Date:	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
Foreign address:				
	City:		Pin Code:	
	State:		Country:	
Documents Furnished:	<input type="checkbox"/> Passport	<input type="checkbox"/> Visa	<input type="checkbox"/> Foreign Address	<input type="checkbox"/> PIS approval <input type="checkbox"/> Indian Address

E. BANK ACCOUNTS DETAILS (Please Tick Default A/c any one)

1	<input type="checkbox"/> Yes <input type="checkbox"/> No	2	<input type="checkbox"/> Yes <input type="checkbox"/> No
Bank Name		Bank Name	
Branch Address		Branch Address	
Account No.		Account No.	
Account Type: Saving/ Current/Others - In case of NRI/NRE/NRO		Account Type: Saving/ Current/Others- In case of NRI /NRE/NRO	
9-Digital MICR Code		9-Digital MICR Code	
IFSC Code		IFSC Code	

NOTE: Provide a copy of cancelled cheque leaf / Pass Book / Bank Statements specifying name of the Client, MICR Code, or/and IFSC Code of the bank.

Note : In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

F. DEPOSITORY ACCOUNT[S] DETAILS, if available: (Please Tick Default A/c any one)			
1	<input type="checkbox"/> Yes <input type="checkbox"/> No	2	<input type="checkbox"/> Yes <input type="checkbox"/> No
Depository Participant Name		Depository Participant Name	
Depository Name (NSDL/CDSL)		Depository Name (NSDL/CDSL)	
Beneficiary Name		Beneficiary Name	
DP ID		DP ID	
Beneficiary ID (BO ID)		Beneficiary ID (BO ID)	

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing Name of the Client.

G. TRADING PREFERENCES: (Stock Exchange(S) & Segment on Which You Wish to Trade)

(Please sign in the relevant boxes where you wish to trade). The Segment not chosen should be struck off by you.)

EXCHANGE	SEGMENT			
	Equity - Cash	Equity Derivatives (F&O)	Currency Derivatives	BSE Star MF / NSE MFSS
BSE	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
NSE	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

*# If If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by GROVALUE *Clients opting for trading in Derivative Segments should give additional documents as mentioned in the Instructions.*

H. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: _____

I. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through any other Member, provide the following details:



Sub-broker's Name:					
SEBI Registration Number:	BSE:		NSE:		
Registered office address:					
Phone:		Fax:		Website:	
Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)					
Name of Stock Broker :					
Name of Sub- Broker, if any :					
Client Code:		Exchange:			
Details of disputes / dues pending from/to such stock broker /Sub-broker:					

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

J. ADDITIONAL DETAILS	
Whether you wish to receive (please specify):	<input type="checkbox"/> Physical Contract Note <input type="checkbox"/> Electronic Contract Note(ECN)
In case of ECN Specify your Email Id	
Whether you wish to avail of the facility of Internet Trading/ Wireless Technology (please specify):	<input type="checkbox"/> Yes <input type="checkbox"/> No
Number of Years of Investment / Trading Experience :	
In case of non- individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others:	As per Annexure Attached
Any Other Information:	

K. INTRODUCER DETAILS (optional)			
Name of the Introducer:			
Status of the Introducer:			
Address of the Introducer:			
Phone No.		Signature of the Introducer:	


L NOMINATION DETAILS (ONLY FOR INDIVIDUAL)	
<input type="checkbox"/>	I/We do not wish to nominate anyone for this account
<input type="checkbox"/>	I/We wish to Nominate
Name of the Nominee	
Relationship with the Nominee	
PAN of Nominee	
Date of Birth of Nominee	
Address & Phone no. of Nominee	
If Nominee is a minor, details of guardian:	
Name of the Guardian	
Address & Phone no of Guardian	
Signature of Guardian	<input type="checkbox"/>

Witness: (Only applicable In case the account holder has made nomination)			
Name		Name	
Address		Address	
Signature	<input type="checkbox"/> 	Signature	<input type="checkbox"/> 

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of GROVALUE and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member's designated website : www.grovalue.in

Place:		() <input type="checkbox"/> Signature of Client/ (all) Authorized Signatory (ies)
Date:		

FOR OFFICE USE ONLY

UCC Code allotted to the Client : _____

	Documents verified with Originals	Client Interviewed By	In Person Verification done By
Name of the Employee:			
Employee Code:			
Designation of the employee:			
Date:			
Signature:			

I / We undertake that we have made the client aware of 'Policy and Procedures, Tariff Sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date _____

Seal/Stamp of GROVALUE

Additional KYC Form for Opening a Demat Account

GROVALUE SECURITIES PVT LTD

DEPOSITORY PARTICIPANT: CENTRAL DEPOSITORY SERVICES (India) LTD (CDSL)

DP ID: 82700 SEBI REGN NO.: IN -DP-CDSL- IN-DP-194-2016

Date	D	D	M	M	Y	Y	Y	Y	DP Int. Ref. No.									
DP ID	1	2	0	8	2	7	0	0	Client ID									

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per following details:

Holder Details

Sole / First Holder's Name	PAN																	
	UID																	
Second Holder's Name	PAN																	
	UID																	
Third Holder's Name	PAN																	
	UID																	

Name *	_____
---------------	-------

* in case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account	
Status	Sub — Status (Please Tick whichever is applicable)
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual -Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Others (specify) _____ <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non - Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non - Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____
Derma A/C category	<input type="checkbox"/> Normal A/c <input type="checkbox"/> BSDA A/c <input type="checkbox"/> RGESS
<input type="checkbox"/> Non- Individual	<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify) _____
	SEBI Registration No. (If Applicable) _____ SEBI Registration date D D M M Y Y Y Y
	RBI Registration No. (If Applicable) _____ RBI Approval date D D M M Y Y Y Y
	Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____

Details of Guardian in case the account holder is minor)	
Guardian's Name	_____
Relationship with the applicant	PAN _____

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> As per SEBI Regulation
I/We request you to send Electronic Transaction-cum Holding Statement at the email Id. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/ We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both (Physical and Electronic)

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

Bank Details (for Dividend)	
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Others (specify)
Account Number	_____
Bank Name	_____
IFSC Code	_____
Bank Code (9 digit MICR code)	_____
Bank Branch Address	_____
	PIN _____
Branch	City _____
State	Country _____

- (i) photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [Mandatory, if you are giving Power Of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)									
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.	<input type="checkbox"/> Yes								
	I/We wish to register the following clearing member IDs under my/our below mentioned BO	<input type="checkbox"/> No								
	ID registered for TRUST:									
	<table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing member name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing member name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing member name	Clearing Member ID (Optional)								
easi	To register for easi, please visit our website: www.cdslindia.com easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

Clearing Member Details (To be filled by CMs only)			
Name of the Stock Exchange	_____		
Name of CC / CH	_____		
Clearing Member ID	_____	Trading Member ID	_____

Nomination Form

To,
Grovalue Securities Pvt. Ltd.
 #77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
 W.E. Highway, Kandivali (E), Mumbai - 400 101.

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- I/We **do not wish to nominate any one for this demat account.**
 [Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details											
DP ID	1	2	0	8	2	7	0	0	Client ID		
Name of the Sole / First Holder											
Name of Second Holder											
Name of Thrd Holder											

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name			
*First Name :			
Middle Name :			
* Last Name :			
*Address:			
*City:			
*State:			
*Pin:			
*Country:			
Telephone No:			
Fax No:			
Nomination Details	Nominee 1	Nominee 2	Nominee 3
PAN No:			
UID :			
Email ID:			
*Relationship with the BO:			
Date of birth (mandatory if Nominee is a minor):			
Name of the Guardian of Nominee (if the nominee is minor):			
*First Name:			
*Middle Name :			
*Last Name :			
*Address of the Guardian of nominee:			
*City:			
*State:			
*Country:			
*Pin:			

Age			
Telephone:			
Fax No:			
Email ID:			
*Relationship of the Guardian with the Nominee:			
*Percentage of allocation of securities:			
*Residual Securities [please tick any one nominee. If tick not marked default will be first nominee]:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note: Residual securities: in case of multiple nominees please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentages of allocation. If you fail to choose one such Nominee, then the first nominee will be marked as nominee entitled for residual shares. If any.

*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place : _____ Date : _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

Երրորդ՝ Ունեւորուող սնանկ արդեար սին/տարզուէ)սԾ Ծ Դիզմայ իմ/ընտանիոն)սԾՈ

Details of the Witness	
First Witness	
Names of Witness	
Address of witness	
Signature of Witness	

(To be filled by DP)

Nomination Form Accepted and registered wide Registration No. _____ dated _____

For Depository Participant
(Authorised Signatory)

=====

Received nomination Form From :

Acknowledgement Receipt

DP ID	1	2	0	2	9	7	0	0	Client ID								
Name																	
Address																	
Nomination In favor of First-Nominee																	
Second- Nominee																	
Third - Nominee																	
No Nomination	<input type="checkbox"/> Does not wish to nominate																
Registration No.									Registered on	D	D	M	M	Y	Y	Y	Y

Terms and Conditions-cum-Registration Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P. J. Towers, Dalai Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.

3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

FEES:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of

SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee /charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We provide the following information for the purpose of REGISTRATION/MODIFICATION (Please cancel out what is not applicable).

BO ID:

1	2	0	8	2	7	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

Sole / First Holder's Name: _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on Which Messages Are to be sent

+91															
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

The mobile number is registered in the name of: _____

Email ID: _____

(Signature of 1st Holder)

(Signature of 2nd Holder)

(Signature of 3rd Holder)

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID	1	2	0	8	2	7	0	0	Client ID								
First Holder Name																	
Second Holder Name																	
Third Holder Name																	

To,
Grovalue Securities Pvt. Ltd.
 #77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
 W.E. Highway, Kandivali (E), Mumbai - 400 101.

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

OPTION 1:




I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

OR

OPTION 2:

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of/ with _____ (name of the attorney/ Clearing Member/ PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	<i>First/Sole Holder</i>	<i>Second Joint Holder</i>	<i>Third Joint Holder</i>
Name			
Signatures			

=====:(Please Tear here)=====

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from :

DP ID	1	2	0	8	2	7	0	0	Client ID								
Name of the Sole / First Holder																	
Name of Second joint Holder																	
Name of Third joint Holder.																	

Declaration for availing of Basic Services Demat Account (BSDA) facility

To,

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

Grovalue Securities Pvt Ltd

#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Dear Sir / Madam,




I / We wish to avail for

- Normal A/c
I am aware of Basic Services Demat Account (BSDA) facility still I am opting for Normal Account and in future also.
- BSDA facility for my / our below mentioned demat account number which we have submitted my / our account opening form

DP ID	1	2	0	8	2	7	0	0	Client ID								
--------------	---	---	---	---	---	---	---	---	------------------	--	--	--	--	--	--	--	--

I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/we also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guideline issued by SEBI or any such authority at any point of time, my / our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

I, the first / Sole holder also hereby declare that I do not have / propose to have any other demat account across depositories as a first / sole holder.

Signature	
Sole/First Holder	
Second Holder	
Third Holder	

(Please Tear here)

Acknowledgment Receipt

DP ID	1	2	0	8	2	7	0	0	Client ID								
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--

Name	
------	--

Date :

Depository Participant Seal and Signature

X TO ALL TO WHOM THESE PRESENTS SHALL COME I/We _____
 (account holder 1) _____ (account holder 2) & _____
 _____ (account holder 3) (name of the beneficial owner/s), residing at _____
 _____ / or We, _____ a Company
 registered under the Companies Act, 1956 and having its office at_ #125 'V-Mall', 1st Floor, Thakur
 Complex, Asha Nagar, W.E. Highway, Kandivali (E), Mumbai - 400 101 ,being India, Indian inhabitant SEND
 GREETINGS.

Whereas I/We hold a Beneficiary account no.

1	2	0	8	2	7	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

(hereinafter referred to as the said 'BO account') with GROVALUE SECURITIES PVT LTD, DP ID 12082700
 with
 Central Depository Services (India) Limited.

I/we am/are desirous of appointing an agent / attorney to operate said account on my behalf in the
 manner hereinafter appearing.

And Whereas I/We am/are an investor having trading/ investment account with GROVALUE engaged in
 buying and selling of securities through GROVALUE SECURITIES PVT LTD a member of BSE / NSE / bearing
 SEBI registration no. **INZ000000430** respectively.

And Whereas due to exigency and paucity of time, I am desirous of appointing an agent/attorney to
 operate the aforesaid beneficiary account on my behalf in the manner hereinafter appearing:

Now know we all and these presents witness that I/we, the above named, do hereby nominate, constitute
 and appoint GROVALUE SECURITIES PVT LTD an Indian company registered under Companies Act, 1956
 acting through its Directors, as my true and lawful attorney(s) (herein after referred to as "the attorney(s)")
 for me/ us and on my/our behalf and in my/our name to do the following acts, deeds and things and
 exercise the following powers and authorities;

1. To do all such thing and give all such instructions as mentioned below concerning the said account as
 I/ we myself/ourselves could give if I/we was/were personally present.

To treat the balance in my BO account as margin against my transactions in capital and derivative
 segment and currency derivative segment and to pledge/ un-pledge the same with Grovalue or NSE or
 BSE clearing member as margin deposit.

2. To instruct DP to transfer the shares to GROVALUE Collateral / Margin / Client Beneficiary account
 number

3. To instruct the DP to debit securities to the said BO account and/ or transfer securities from the said
 account to GROVALUE NSE pool account and to GROVALUE BSE pool account to the extent of shares sold
 by me/us for pay-in obligations towards any stock exchange.

DP Id	Client Id	CM BP Id	Type of A/c.	Depository	Exchange
IN 300095	11875609	IN519564	POOL A/C	NSDL	NSE
IN 300095	11861503	IN665779	POOL A/C	NSDL	BSE
1208270000000726			NSE/BSE BENEFICIARY A/C	CDSL	BSE/NSE
1208270000000779			POOL A/C	CDSL	NSE
1208270000000783			PRINCIPAL A/C	CDSL	BSE
1208270000000798			POOL A/C	CDSL	BSE

DP Id	Client Id	CM BP Id	Type of A/c.	Depository	Exchange
1208270000001179			COLLATERAL A/C	CDSL	



(Signature of 1st Holder)



(Signature of 2nd Holder)



(Signature of 3rd Holder)

4. To instruct the DP to debit securities to the said account, which have been wrongly credited in my BO account.
5. To transfer charges levied in my BO account to my trading ledger account of NSE & BSE .
6. To send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/ email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
7. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights offer of shares, tendering shares in open offers etc pursuant to written/electronic instructions given by me/us to the Grovalue.

I/We ratify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked and that Grovalue shall act upon it on the receipt of such revocation in writing at its office **GROVALUE SECURITIES PVT. LTD. : #125 V Mall', 1st Floor, Thakur Complex, Asha Nagar, W.E. Highway, Kandivali (E), Mumbai - 400 101.**

SIGNED AND DELIVERED By The Within Named on ____ Day of _____ (Month) _____ (Year)

In The Presence of Witness,

1st Holder)  _____ (Sign)

1. Sign : _____

Name : _____

1st Holder)  _____ (Sign)

Address: _____

1st Holder)  _____ (Sign)

2. Sign : _____

Name : _____

Address: _____

For GROVALUE SECURITIES PVT LTD

Accepted _____ (Director's Sign)

Director's Name : _____

TARIFF SHEET BROKERAGE STRUCTURE

<i>Capital Market Segment / Cash Segment</i>				<i>Equity Derivatives (F&O) Segment</i>			
<i>Trading Account</i>		<i>%</i>	<i>Min.Paise</i>	<i>Trading Account</i>		<i>Future %</i>	<i>Min.Paise</i>
1. Square off	C Both side			1. Brokerage			
same day	c One side			2. Square off	C Both side		
2. Delivery				same day	C One side		
3. Flat Brokerage	Per Trade (in Rs.)	Order Value in Multiples (in Rs.)		3. Brokerage		Option %	Min.Paise
Intra-day							
Delivery				3. Flat Brokerage	Per Trade (in Rs.)	Order Value in Multiples (in Rs.)	
<i>Currency Derivatives Segment</i>				Future			
<i>Trading Account</i>		<i>Future %</i>	<i>Min.Paise</i>	Option			
1. Brokerage							
2. Square off	C Both side						
same day	c One side						
Particulars							
Applicable to Category of Clients				Individual & Non-Individual			
1.	Account Opening Charges			Nil			
2.	Custodian Charges			Nil			
3.	Annual Maintenance Charges For Individual			Rs. 300 + Service Tax			
4.	Annual Maintenance Charges For Non-Individual			Rs. 1000 + Service Tax			
Transaction Charges :							
On Market & Off Market Credit				Nil			
b.	On Market Debit			0.01 % Minimum Rs.10/-			
c.	Off Market Debit			0.01 % Minimum Rs.10/-			
6.	Demat Charges			Rs.3/- per Certificate plus Rs.25/- Courier charges At Actual cost			
7.	Remat Charges			Rs.15/- Per Certificate			

8.	Pledge Charges :	
a.	Creation/Conformation	0.01 % Minimum Rs.25/-
b.	Closure/Conformation	0.01 % Minimum Rs.25/-
c.	Invocation	0.01 % Minimum Rs.25/-
9.	Assets Holding	Nil
10.	Account Closure	Nil
11.	Late Transaction Charges Per Txn	Nil
12.	Cheque Bounce/Dishonored Charges Per Instance	Rs. 200/-
13.	Additional DIS book	Rs. 25/- Per book
14.	Lost DIS Book	Rs. 25/- Per book

- A cheque in the name of Grovalue Securities Pvt Ltd should be given along with account opening form. Only cheques payable at par with account details on reverse, will be accepted.
 - All the percentages in the above Tariff would be applied on the value of Transaction as Computed by CDSL.
 - Service Tax as applicable would be levied. All the Pay-in instructions should be received at least before **24 hours** of the pay-in day.
 - All the holders must sign operating instructions for the Joint accounts.
 - In case of any cancellation/correction made in the instruction slip all the holders must sign on the slip before submission.
 - A penalty charges will be charged on the outstanding amount of the Monthly Bill.
 - Any other charges not mentioned will be charged separately after the intimation.
 - All statements- Transaction/ Holding/ Bill etc. will be sent via Digital Mode
 - Charges are subject to revision and at the sole discretion of Grovalue Securities Pvt Ltd.
 - **For Basic Services Demat Account:** If the value of holding in such BSDA exceeds the prescribed criteria at any date,
 - **Grovalue Securities Pvt. Ltd.** may levy charges as applicable to normal account (non-BSDA) from that date onwards
 - Delay payment charges upto 25% p.a. as intimated from Company time to time in writing for trading & 18% p.a. Demat account charges.
- * We offer customized prepaid brokerage scheme as per client request.



(Signature of 1st Holder)



(Signature of 2nd Holder)



(Signature of 3rd Holder)

Date: _____

Place: _____

POLICIES AND PROCEDURES

Penny Stocks :

Penny Stock - A stock that trades at a relatively low price and market capitalization. These types of stocks are generally considered to be highly speculative and high risk because of their lack of liquidity, large bid-ask spreads, small capitalization and limited disclosure.

The clients is advised not to deal in penny stocks and if a client deals in the such stocks, 100% margin will be taken from him in advance and these shares will not be taken to as Margin deposit.

Grovalue shall have authority from time to time to limit (quantity/ value) or refuse orders in one or more securities due to various reasons including market liquidity, value of security/ ies or may require compulsory settlement/advance payment of expected settlement value/ delivery of securities for settlement prior to acceptance / placement of order(s) as well, the order be Ong for securities which are not in the permitted list of Grovalue / exchange(s) /SEBI or does not commensurate with the risk profile of the client as assessed by the broker.

Decision of Broker will be binding on the client and will be final.

Setting Up Client's Exposure Limits :

Grovalue may from time to time impose and vary limits on the orders that the client can place through Grovalue's system (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed etc.).

The client is aware and agrees that Grovalue may need to vary or reduce the limits or impose new limits urgently on the basis of Grovalue's risk perception and other factors considered relevant by Grovalue including but not limited to limits on account of exchange/SEBI directions/ limits (such as broker level/ market level limits in security specific/ volume specific exposures etc.), and Grovalue may be unable to inform the client of such variation, reduction or imposition in advance.

The client agrees that Grovalue shall not be responsible for such variation, reduction or

imposition or the client's inability to route any order through Grovalue's trading system on account of any such variation, reduction or imposition of limits.

The client further agrees that Grovalue may at any time, at its sole discretion and without prior notice, prohibit or restrict the client's ability to place orders or trade in securities through Grovalue, or it may subject any order placed by the client to a review before its entry into the trading systems and may refuse to execute/allow execution of orders due to but not limited to the reason of lack of margin/ securities or order being outside the limits set by Grovalue /exchange/SEBI and any other reasons which Grovalue may deem appropriate in the circumstances. The client agrees that the losses, if any, on account of refusal to delay caused by such review, shall be borne by the client alone.

Grovalue has margin based RMS system. Total deposits of the clients are uploaded in the system and client may take exposure on the basis of margin applicable for respective security as per VAR based margining system of the stock exchange and/or margin defined by RMS based on their risk perception.

In case of exposure taken on the basis of shares margin the payment is required to be made before the exchange pay in date otherwise it will be liable to square off after the pay in time or any time due to shortage of margin.

Applicable Brokerage Rate :

Brokerage will be charged within the limits prescribed by SEBI / Exchange:

i. For Cash Market Segment:

The maximum brokerage chargeable in relation to trades affected in the securities admitted to dealings on the Cash/Capital Market segment of the Exchange shall be 2.5% of the contract price exclusive of statutory levies. It is hereby further clarified that where the sale/purchase value of a share is Rs.10/- or less, a maximum brokerage of 25 paise per share may be collected

POLICIES AND PROCEDURES

ii. For Option contracts:

Brokerage for option contracts would not exceed Rs.100/- per lot single side or such other rates as provided by the exchanges.

d) Imposition of penalty/delayed payment charges:

Client shall be liable to penalty and other charges on nonpayment of margin money, short selling of securities or units, failure on payment of auction, cheque bounce, non delivery of shares, increase open position.

Similarly in case of non receipt of full payment of value of delivery purchased, margin imposed (initial + MTM) delayed payment charges will be charged upto 0.5% per day calculated on daily basis on shortfall amount till the date of actual realization of money, however the same will not amount to margin funding. All fines/ penalties and charges levied upon the Client due to its acts / deeds or transactions will be recovered by Grovalue directly from the client's account.

Further where Grovalue has to pay any fine or bear any punishment from any authority in connection with / as a consequence of / in relation to any of the orders / trades / deals / actions of the client, the same shall be borne by the client.

e) The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues:

Without prejudice to Grovalues other right (Including the right to refer the matter to arbitration), Grovalue shall be entitled to liquidate/close out all or any of the clients position without giving notice to the client for non-payment of margins or other amounts including the pay in obligation, outstanding debts etc. and adjust the proceeds of such liquidation/close out, if any, against the liabilities / obligations.

The client shall ensure timely availability of funds/securities in form and manner at designated time and in designated bank and depository account(s), for meeting his/her/ its pay in obligation of funds and securities. Any and all losses and financial charges on

account of such liquidations/ closing out shall be charged to & borne by the client. In cases of securities lying in margin account/client beneficiary account and having corporate actions like Bonus, Stock split, Right issue etc, for margin or other purpose the benefit of shares due to received under Bonus, Stock split, Right issue etc. will be given when the shares is actually received in Grovalue designated demat account.

In case the payment of the margin / security is made by the client through a bank instrument, Grovalue shall be at liberty to give the benefit / credit for the same only on the realization of the funds from the said bank instrument etc. at the absolute discretion of Grovalue. Where the margin / security is made available by way of securities or any other property, Grovalue is empowered to decline its acceptance as margin / security &/or to accept it at such reduced value as Grovalue may deem fit by applying haircuts or by valuing it by marking it to market or by any other method as Grovalue may deem fit in its absolute discretion.

Grovalue has the right but not the obligation, to cancel all pending orders and to sell/close/ liquidate all open positions/securities/shares at the pre-defined square off time or when Mark to Market (MTM) percentage reaches or crosses stipulated margin percentage, whichever is earlier. Grovalue will have sole discretion to decide referred stipulated margin percentage depending upon the market condition. In the event of such square off, the client agrees to bear all the losses based on actual executed prices, the client shall also be solely liable for all and any penalties and charges levied by the exchange(s).

f) Shortages In obligations arising out of Internal netting of trades:

The policy and procedure for settlement of shortages in obligations arising out of internal netting of trades is as under:

- I. The short delivering client is debited by an amount equivalent to 20% above the closing rate of day prior to Pay-in/Payout Day. The securities delivered short are purchased from market on T+2 day and the purchase consideration (inclusive of all statutory taxes & levies) is debited to

- the short delivering seller client along with reversal entry of provisionally amount debited earlier.
- ii. If securities cannot be purchased from market due to any force majeure condition, the short delivering seller is debited at the closing rate on T +2 day or Auction day on Exchange +10% where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/ credits shall be as per Exchange Debits and Credits.
 - iii In cases of securities having corporate actions all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure / record date, would be compulsory closed out at higher of 10% above the official closing price on the auction day or the highest traded price from first trading day of the settlement till the auction day.

g) Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client:

Grovalue has margin based RMS system. Client may take exposure up to the amount of margin available with Grovalue. Client may not be allowed to take position in case of non-availability/shortage of margin as per our RMS policy of the company. The existing position of the client is also liable to square off/close out without giving notice due to shortage of margin/ non making of payment for their Pay-in obligation/outstanding debts.

Grovalue may refuse to execute / allow execution of orders due to but not limited to the reason of lack of margin / securities or the order being outside the limits set by Grovalue / exchange/ SEBI and any other reasons which Grovalue may deem appropriate in the circumstances:

- 1. For non-payment or erosion of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation/ close out, if any against the client's liabilities / obligations.

Any order which is executed without the required margin in the client's account or the brokers' exposure is more than 90% and above so no fresh trade will be taken.

- 1. The client authorizes Grovalue to square up all his outstanding positions at the discretion of Grovalue, which are not marked for delivery 15minutes before the closing time of the normal market or if the client's margin is evaporated by 90% in any of the exchanges, reserves the right to square off positions.
- 2. Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a security due to any action on account of unusual trading activity or stock hitting circuit filters or for any other reason as prescribed or instructed by SEBI.
- 3. Grovalue is entitled to disable/freeze the account or trading facility/ any other service if, in the opinion of Grovalue, the client has committed a crime, fraud or has acted in contradiction of this agreement or /evade / violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if Grovalue so apprehends.

Any profit/loss arising out of these transactions shall be at the risk of and borne by the client.

h) Temporarily suspending or closing a client's account at the client's request:

On the request of the client in writing, the client account can be suspended temporarily and same can be activated on the written request of the client only. During the period client account is suspended, the market transaction in the client account will be prohibited. However client shares/ledger balance settlement can take place.

Client may instruct the member to close out the account or suspend the trading through client's account for the period as specified in the request in written and duly signed by him.

Grovalue can hold with the payouts of client and suspend his trading through client account due to his surveillance action or judicial or/ and regulatory order/action requiring client suspension. On the request of the client in writing, the client account can be closed

provided the client account is settled. If the client wants to reopen the account in that case client has to again complete the KYC requirement.

i) Deregistering a client :

Notwithstanding anything to the contrary stated in the agreement, Grovalue shall be entitled to terminate the agreement with immediate effect in any of the following circumstances:

- (i) If the action of the client are prima facie illegal / improper or such as to manipulate the price of any securities or disturb the normal/proper functioning of securities or disturb the normal/proper functioning of the market, either alone or in conjunction with others.
- (ii) If there is any commencement of a legal process against the client under any law in force;
- (iii) On the death/lunacy or other disability of the Client;
- (iv) If the client being a partnership firm, has any steps taken by the Client and/ or its partners for dissolution of the partnership;
- (v) If the Client suffers any adverse material change in his/her/its financial position or defaults in any other agreement with Grovalue;
- (vi) If there is reasonable apprehension that the Client is unable to pay its debts or the Client has admitted its inability to pay its debts, as they become payable;
- (vii) If the Client is in breach of any term, condition or covenant of this Agreement;
- (viii) If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the Security;
- (ix) If a receiver, administrator or liquidator has been appointed or allowed to be appointed of all or any part of the undertaking of the Client;
- (x) If the Client have taken or suffered to be failure taken any action for its reorganization, liquidation or dissolution;

(xi) If the Client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;

(xii) If any covenant or warranty of the Client is incorrect or untrue in any material respect;

j) Inactive Client account

Client account will be considered as inactive if the client does not trade for six months.

Calculation will be done at the beginning of every month and those clients who have not traded even a single time will be considered as inactive, the shares/ credit ledger balance if any will be transferred to the client within one week of the identifying the client as inactive. The client has to make written request for reactivation of their account.

k) Non-execution of orders due to connectivity failure :

Trading in Exchange is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt or any break down in our back office/ front end system, or any such other problems/glitch whereby not being able to establish access to the trading system/network, which may be beyond Grovalue control and may result in delay in processing or not processing buy or sell Orders either in part or in full. Grovalue shall not be fully liable and responsible for any such problem/fault.

l) Client Acceptance - Policies and Procedures :

These Policies and Procedures may be amended / changed by the broker, by giving due notice of the change to the client with through any one or more means or methods.

The client agrees not to challenge the same on grounds of delayed receipt / non receipt or any other reasons whatsoever.

These Policies and Procedures shall always be read along with the agreement and shall be compulsorily referred to while deciding any dispute / difference or claim between the client and Grovalue before any court of law / judicial / adjudicating authority including arbitrator / mediator etc.

m) KRA Policy:

A KRA is a SEBI-registered agency that centrally maintains KYC (Know-Your-Client) records of investors on behalf of Stock broker and other intermediaries. Investors opening new accounts with Grovalue will have to complete the KYC formalities only once, which will get uploaded to a KRA. In future if the investor changes the broker, the letter can retrieve the information from a KRA. He need not to undergo KYC process again. For new client accounts opened after February 1, 2012, the KYC data and requisite documents will be sent to CVL within 10 working days from the date of submission of all documents duly executed by the client.

Grovalue is registered with CVL, KYC official of Grovalue are responsible for KRA compliance.

On receipt of client registration/account opening documents, Grovalue will first identify whether the client is already KYC compliant.

To confirm the same the Grovalue will verify the same through the KRA'S website. If client is registered with KRA, then Grovalue will collect required documents & will sent to the KRA for verification within 10 working days from the date of submission of all documents executed by clients. In case any discrepancy in the KYC documents, Grovalue inform the client regarding the discrepancy. If discrepancy is not solved by clients within stipulated time, Grovalue will stop client's trading.

 Client's Signature _____

Place : _____

Date

--	--	--	--	--	--	--	--

REQUEST LETTER FOR RECEIVING E-STATEMENT OF ACCOUNT

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

SEBI Reg. No.: *IN-DP-CDSL-194-2016*

Re: Beneficial Owner (BO) Account

1	2	0	8	2	7	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

had entered into agreement dated _____ with your at the time of opening of the aforesaid BO account.

Pursuant to the amendment in (Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I/We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. B ut, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

(Email address): _____

I/We con firm that any change in the aforesaid email address or any other instructions with regard to dispatch/ service of my/our statement of account on me/us shall not be binding upon you are intimated in writing by me/us by acknowledged delivery.

Yours faithfully,

Name of the 1st Holder _____ Sign 

Name of the 2nd Holder _____ Sign 

Name of the 3rd Holder _____ Sign 

Authority to debit the Demat Account Operating Charges

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Dear Sir,

Sub: Payment of DP charges

Ref. : My / Our BO ID No.	1	2	0	8	2	7	0	0										
1st Holder																		
2nd Holder																		
3rd Holder																		

I/We have Demat account with your company. I / We are also your client (s) in Cash / Derivatives segments of your company.

My / our Client Code is: _____

I / We hereby instruct you to adjust my / our DP charges against credit in my / our account in Cash Segment or Derivatives Segments of your company towards recovery of DP charges.

These standing instructions are valid from the date the demat account is opened at your end to the day of closure of demat account.

Thanking You,

Yours Faithfully,



(Signature of 1st Holder)



(Signature of 2nd Holder)



(Signature of 3rd Holder)

Running Account Authorization

To,
Grovalue Securities Private Limited
 #77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
 W. E. Highway, Kandivali (E), Mumbai - 400 101.

Sir,

Ref.: Client Code : _____

Client Name : _____

Sub : Authorization for Maintenance of Running Account

Notwithstanding anything contrary contained in the agreement between us, I / we understand that maintenance of running account is a specialized service offered by the GROVALUE which is optional in nature and this document has been signed by me/us voluntarily without any coercion or force.

I/We am/are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and for the purpose of my/our comfort and in order to facilitate ease of operations, I/we authorize GROVALUE as under :

1. I/We authorize GROVALUE to maintain a running account instead of settlement-to-settlement payout of funds or delivery or securities to me /us.
2. All funds and securities kept to my credit by the Member may be treated as upfront margins and/or security deposit, at the discretion of the Member, for allowing exposure to me.
3. Under this arrangement made at my specific request, I/We shall not claim any interest on the funds and securities kept in running account with the member.
4. I/We hereby authorize GROVALUE to keep and utilize the payout/margin money deposited by me/us with the Member in my/our account for meeting all my/our existing and future obligations at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise
5. I/We hereby authorize GROVALUE to keep the payout/margin money deposited by me/us with the Member in my/our account for providing limits for trading purpose on basis of this deposit.
6. These funds can be released to me/us as and when requested by me/us in writing or otherwise, subject to availability of free and unutilized balance after taking consideration all open positions and dues relating to my delivery positions.
7. I/We authorize GROVALUE to keep my/our securities received in the payout in your pool or beneficiary account with a DP as security margin for availing limit for trading purpose unless I/we instruct you to transfer the same to my/our account.
8. I/We agree and authorize GROVALUE to settle my/our funds and securities at least once in every calendar quarter / Calendar month as specified by me as under:

Preference for settlement of funds and securities is:

Quarterly

Monthly

9. In case of outstanding obligations in the F&O segment on the settlement date, GROVALUE shall retain requisite funds / securities towards such outstanding obligation and may also retain the funds expected to be required to meet margin obligation for next five trading days or as notified by regulatory authority SEBI/Stock Exchange from time to time.
10. I/We authorize GROVALUE to retain an amount of up to Rs 10,000/- (net amount across segment and across stock exchanges), while settlement of funds. The above threshold limit on retention of amount shall not be applicable if I have not traded even once during the last one month/quarter, as the case may be; i.e settlement shall be done as per the aforesaid SEBI circular, in that cases.
11. You shall transfer the Funds / securities lying in my / our credit within one working day of the request if the same are lying with you & within 3 working days from the request, if the same are lying with the clearing member / clearing corporation
12. I/We agree to that the running account authorization would continue until it is revoked.
13. I/We understand that the said authorization to maintain a running account may be revoked at any time and the Member shall act upon such revocation request upon receipt of the original revocation request in writing at the Head Office of the Member.
14. I/We agree to bring to the notice of GROVALUE in writing any dispute arising from the statement of account or securities as prescribed by SEBI under circular no. MIRSD/SE/Cir-19/2009 dated December 03, 2009.

Yours truly,

 Client's Signature _____

Place : _____

Date

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MANDATE AUTHORIZING TO DESPATCH DOCUMENTS BY E-MAIL

(Signing this letter is voluntary)

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Dear Sir,

I/We agree to receive and accept the contract notes, bills, confirmations, Ledger, Margin Statement and miscellaneous documents sent by the GROVALUE through computer e-mail (electronic media) which shall be treated as valid and binding receipt of the said documents by me. I/We further agree to give my/our e-mail.

ID to the GROVALUE and once the GROVALUE sends the aforesaid documents by e-mail, its obligations in this regard would be treated as having been met and fulfilled. In case of failure in Grovalue's system or errors in digital contract notes, contract notes will be issued in physical form. Further, it is explicitly understood by me/us that in case of failure to open the computer (or mechanical / linkage problem) or any negligence or for any reason or delay on my/our part to open my e-mail box, the GROVALUE shall not be held liable in any manner.

I/We confirm that once the contract notes etc. documents are sent by GROVALUE through e-mail mode, the same will constitute valid and binding dispatch in law on me/us. I/We undertake to advise GROVALUE change of e-mail ID, if any.

Please note:

- ✦ The digital contract notes will be issued in digital form in compliance with the guidelines issued by SEBI / Exchanges from time to time.
- ✦ Digital contract notes will be mailed to the e-mail address provided to us in the format as may be prescribed by the Exchanges from time to time.
- ✦ In case of failure in system or errors in digital contract notes, contract notes will be issued in physical form, which shall be binding to the client.
- ✦ Any changes in the terms and conditions shall be intimated form time to time.

We also agree that non-receipt of bounced mail notification by you shall amount to dillivery at my / our email account / email id.

Email Id _____

Name of Client _____

I/We understand that I/We am/are required to intimate any change in the email id/account mentioned herein above needs to be communicated by me/us through a physical letter duly signed by me.

 Client's Signature _____

Place : _____

Date

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ANNEXURE FOR NRI CLIENTS ONLY

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Client Code : _____ Client Name : _____

Dear Client,

Sub: Trading by NRIs & Compliance of RBI Stipulations

This is with reference to NSE Circular No. NSE / MEM / 5676 dated December 21, 2004 and Reserve Bank of India letter dated September 7, 2004 relating to trading by NRIs compliance. The relevant contents of the letter are as reproduced below.

We advise that if NRIs intend to trade on the stock exchange in India they will have to comply with the requirements of the Portfolio Investment Scheme (PIS) which are stipulated in terms of Schedule 3 to Notification No. FEMA 20/2000-RB dated 3rd May, 2000 the provisions of which are briefly set forth below.

1. All the transactions under PIS are routed through a registered stock broker on a recognized stock exchange and through a designated bank.
2. Submission of the Contract notes to designated Bank Branch, within 24 hrs of said trades.
2. Paid-up value of Share/convertible debentures by NRI on repatriation and non-repatriation basis does not exceed 5% of the paid-up value of share/paid-up value of each series of convertible debentures issued by the company.
3. Payment for purchase of shares/debentures is made by inward remittance in foreign exchange and through normal banking channel or out of funds held in NRE/FCNR account, if shares are purchased on repatriation basis.
4. Understand and agreed to abide by the SEBI/RBI rule that prohibits from short-selling securities and netting of trades i.e. NRI investor takes delivery of the shares purchased and gives delivery of shares sold.

Thus you are requested to comply with the above provisions.

Thanking you,

For GROVALUE SECURITIES PVT LTD.

 Client's Signature _____

Place : _____

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

INTERNET TRADING LETTER

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Sub: Internet Trading

/We wish to trade through internet on National Stock Exchange of India Ltd / Bombay Stock Exchange Ltd , and confirm that I/We am/are fully aware of and understand the risks associated with availing of a service of routing orders through internet including the risk of misuse and unauthorized use of our Username and Password by a third party and the risk of a person hacking into our account on your IBT/ ITORS system and unauthorized routing order on behalf of us through the system. I/We agree that I/we shall be fully liable and responsible for any and all unauthorized use and misuse of our Password and /or Username and also for any and all acts done by any person through our ITORS system on our Username in any manner whatsoever.

I/We hereby confirm you to send my/our Username and Password on the below mentioned email address.

Email Address: _____

 Client's Signature _____

Place : _____

Date

D	D	M	M	Y	Y	Y	Y
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VOLUNTARY

Authorization for Adjustment between Different Segments & Exchanges

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Dear Sir,
I/We understand that the regulations governing the Capital Market do not encourage adjustment of balances arising out of dealings of Clients in different segments or Exchanges.

However while appreciating the above, I/We would like to avoid inconvenience of making and receiving multiple payments and also make potential use of my/our funds, in my/our opinion, this optimization is possible, if I/We can deal with my/our account with you on a consolidated basis.

I/We therefore request & voluntarily authorize you to consider my/our account on a consolidated basis, accordingly you may adjust Inter-Exchange or Inter-Segment, Debit & Credit balances with each other without reference to me/us and the same shall not be objected to by me/us. Any entries passed by you in accordance with this authorization shall be binding on me/us.

Thanking you,

 Client's Signature _____

VOLUNTARY

Authorization for DISCLOSURE OF CLIENTS DETAILS

(Signing this Authorization is voluntary)

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Ref.: Mandatory & Client Registration Documents executed by me/us.

Under Schedule II of the Securities and Exchange Board of India (Stock Brokers and sub-Brokers) Regulations, 1992, under CODE OF CONDUCT FOR STOCK BROKERS [Regulation 7] B. Duty to the Investor, as per Clause (5) Business of Defaulting clients: "A stock-broker shall not deal or transact business knowingly, directly or execute an order for a client who has failed to carry out his commitments in relation to securities with another stock-broker."

The client understands that he is required to provide the stock broker / trading member with details of any dispute or default with any other stock broker / trading member and that he must keep that information updated as and when developments occur in that regard.

In order to be able to fulfill this statutory requirement as well as to ensure that genuine investors are not put into increased risk of losing their funds or securities lying with a stock broker / trading member due to a default by a stock broker / trading member caused by any fraudulent or defaulting client who may habitually commit fraud or default on stock-brokers, the client hereby expressly authorizes the stock broker / trading member to disclose/provide to any trade body, association of stock broker/trading member to disclose / provide to any trade body, association of stock brokers/trading members including the Association of National Exchanges Members of India (ANMI), related website(s), or any organization which maintains such a dispute or default database for the purpose of sharing the information with stock brokers / trading members, his registration and identification details, his transactions and accounting details as well as any other details relating to any dispute with the client (sufficient if deemed as dispute by the stock broker / trading member), or default by the client, in fulfilling his obligations to the stock-broker/trading member. The client agrees and confirms that he shall not act or make any claim against anybody or organization or database to whom such information is furnished and upon settlement with the stock broker / trading member shall have the information removed through the trading member.

 Client's Signature _____

Place : _____

Date

D	D	M	M	Y	Y	Y	Y
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Request for SMS and Email alerts from Stock Exchange/s

To,
Grovalue Securities Private Limited
 #77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
 W.E. Highway, Kandivali (E), Mumbai - 400 101.

Ref: SEBI Circular ref no. CIR/MIRSD/15/2011 dated August 02, 2011.

I/We request you to enroll me/us for the following facility offered by the Stock Exchanges pursuant to above referred SEBI Circular.

SMS alerts facility on Mobile No. provided in my KYC

1.	Mobile No. belongs to <input type="checkbox"/> Self <input type="checkbox"/> Family Member
2.	In case of family member please specify the relationship: <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent <input type="checkbox"/> Spouse
3.	PAN No. of the family member whose Mobile No. is provided above: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Email alerts facility on Email Id provided in my KYC

1.	Email belongs to <input type="checkbox"/> Self <input type="checkbox"/> Family Member
2.	In case of family member please specify the relationship: <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent <input type="checkbox"/> Spouse
3.	PAN No. of the family member whose Email ID is provided above: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Note:

- Individual Client is invariably required to provide his own Mobile No./Email Id except in case of family member where the following relationship exist Dependents child or Dependents Parent or Spouse.
- Non-individual client shall provide the Mobile/Email details of the person authorized to receive such communication and as provided in the KYC.
- Client may please note the Mobile No. Trading Member (TM)/Employees of TM/Sub broker/Authorised Person are not permitted for receiving the SMS/Email communication from the Exchanges.
- Client may note that the Circular is available for downloading on the Exchange's website.

 Client's Signature _____

DECLARATION

Applicable to only those clients who DO NOT HAVE Mobile No. / E-mail ID OR who do not wish to provide the same:

I/We do not have mobile no./E-mail ID.

OR

I/We do not wish to register my/our Mobile No. / E-mail Id.
 Accordingly, I /We put herein my /our signature as a token of acceptance of above.

 Client's Signature _____

Place : _____

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

* in case of non-individual, signature with rubber stamp

DECLARATION BY HUF & CONSENT LETTER FOR DEMAT & TRADING

To,
Grovalue Securities Private Limited
#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Sub: Declaration of Coparcener(s)

I/We hereby declare that I/we are co-parceners of the HUF _____ with as "Karta".
I/We have opened Trading and/or Demat account with you under HUF category. I/We hereby declare that I/We am/are authorizing the said Karta to execute the transactions and to do all such needful acts/deeds in our Demat/Trading account. I/We further authorize the Karta to appoint any other attorney and authorize him/her/it to act on behalf of the HUF and Coparcener(s) with regard to the operations of the said Demat/Trading account. The details of our account are given below:

Trading Code: _____ BO Client ID: _____

List of co-parceners:

Sr. No.	Name of Co-parceners (Including Minor also)	Relationship with Karta	PAN No	Address (if other than Karta's address)	Date of Birth	Signature
1						
2						
3						
4						
5						

We hereby undertake to inform the Companies of the death or birth of co-parcener or of any change occurring at any time in the membership of the HUF during the subsistence of the Account. I/We hereby state that the details mentioned as above are true and any change in them would be intimated to you in writing.

We confirm to have read and understood the terms and conditions applicable to the Account and relating thereto and shall always be bound by and abide with them and their amendments from time to time.

Yours faithfully,

Name of Karta

Signature along with Stamp of Karta  _____

Date: _____

Place: _____

DECLARATION

Non - Mandatory

Date :

To,

Grovalue Securities Pvt Ltd.

#77 'V Mall' Ground Floor, Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E), Mumbai - 400 101.

Dear Sir(s),

I /We hereby state and declare that I have received, read and understood the below mentioned documents to my satisfaction and understood the voluntary clauses mutually agreed between us.

1. I / We have received and read the document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (Including additional rights & obligations in case of internet/wireless technology based trading).
2. I / We have received and read the Document detailing risks associated with dealing in the securities market in the Risk Disclosure document.
3. I / We have received and read the Guidance note detailing do's and don'ts for trading on exchange, for the education of the investors.
4. I / We have received and read the Document describing significant policies and procedures of the stock broker and also the tariff structure.
5. I / We have received and read the Document describing Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories for the purpose of opening a Demat account.

I have got a clear idea about all brokerage, commissions, fees and other charges levied by the Grovalue Securities Pvt. Ltd. for trading and Depository account as per the relevant provisions / guidelines specified by SEBI / Stock exchanges.

I hereby acknowledge the same.

Name of Client : _____

Signature :  _____

Place : _____

FATCA/CRS Declaration Form

To: **GROVALUE SECURITIES PRIVATE LIMITED**

BO ID: _____ TRADING CODE: _____

Name: _____ PAN No: _____

Primary Holder Jt Holder 1 Jt Holder 2 Jt Holder 3

Residential Status (Resident / Non-Resident) _____

FATCA/CRS Declaration Form

Part I - Please fill in the country for each of the following (Applicable for all customers):

1	Country of:	
a)	Birth	
b)	Citizenship	
c)	Residence for Tax Purposes	
d)	Current Residence (Overseas Country for NRI)	
2	US Person (Yes I No) Refer definition on page 2	

Part II Please note:

- a. If in all fields above, the country mentioned by you is India (except in case of seafarers) and if you do not have US person status, please proceed to Part II for signature.
- b. If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or Functional equivalent as issued in the specific country in the table below:

i)	<input type="checkbox"/> TIN or <input type="checkbox"/> Functional equivalent (please specify name and number)	
	Country of Issue	
ii)	<input type="checkbox"/> TIN or <input type="checkbox"/> Functional equivalent (please specify name and number)	
	Country of Issue	
iii)	<input type="checkbox"/> TIN or <input type="checkbox"/> Functional equivalent (please specify name and number)	
	Country of Issue	

- c. If you satisfy the criteria mentioned in II(b) above but do not have Taxpayer Identification Number functional equivalent, please tick the reason for the same as given below:

I am a person resident out of India with (choose only if applicable):

- Country not issuing TIN/Functional equivalent _____
 (mention Visa / Residence / Work permit number)
- Dependent visa _____ (mention dependent visa number) Student
- visa _____ (mention student visa number) Seafarer status
- (mention CDC/visa number)
- Going to the country of residence for first time (mention visa number. TIN/functional equivalent to be communicated to the bank within 90 days, else account will get closed).

OR

I am a person resident in India as well as resident for tax purposes in India (Please also fill Part IV self-certification)

- d. In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reason/s for not having relinquishment certificate . Please also fill Part IV Self-Certification.

Part III - Customer Declaration (Applicable for all customers)

(i) Under penalty of perjury, I certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person) or
2. The applicant is taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India)

- (ii) I understand that the Bank is relying on this information for the purpose of determining my status in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact. I shall seek advice from professional tax advisor for any tax questions.
- (iii) I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- (iv) I agree that as may be required by domestic regulators/tax authorities the Bank may also be required to inform reportable details to CDBT or close or suspend my account.
- (v) I certify that I provide the information on this form and to the best of my knowledge and belief the certification is true correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.

Signature :

(17)A

Name :

Date (DD/MM/YYYY) :

Part IV - Self -Certification (Not Applicable for N R I customers except for point (b) below):

To be filled only if -

- (a) Any of the indicia parameters is outside India and TIN or functional equivalent is not available since not a resident tax purpose outside India, or
- (b) Country of Birth is US and US person is mentioned as "No" in Part I

I confirm that I am not a US person or a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and / or residency.

Signature :

Document Proof submitted (Pls tick document being submitted)

Passport Election Id Card PAN Card Driving License UIDAI Letter NREGA Job Card Govt. Issued ID Card

Note-

The term United States person means:

- a. an individual, being a citizen or resident of the United States of America;
- b. partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;
- c. a trust if: i. a court within the United States of America would have authority under applicable law to render orders or judgements concerning substantially all issues regarding administration of the trust; and ii. one or more U.S. persons have the authority to control all substantial decisions of the trust;
- d. an estate of a decedent who was a citizen or resident of the United States of America.

Functional Equivalent of TIN includes the following:

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.

NATIONAL AUTOMATED CLEARING HOUSE (NACH) DIRECT DEBIT AUTHORIZATION FORM

Client Code :

Date : _____

Client Name : _____

I/We duly sign this form under National Automated Clearing House and authorise Grovalue Securities Pvt. Ltd. ('the Company') and its authorised service providers to debit my/our bank account details mentioned in the Mandate for NACH Direct Debit, for the purpose of collecting funds electronically through Electronic Clearing System (ECS) or through Direct Debit facility under NACH.

I/We also understand that the Company or its authorised service provider may execute Debit Instruction as per attached mandate for the purpose of any dues /charges payable to Grovalue Securities Pvt. Ltd. for any segment.

I/We hereby confirm and agree to abide by the following terms and conditions:

1. I/we also agree that I/we shall never raise any dispute or claim any loss/damages with regard to the same.
2. I/We also understand that in case of any change in Mandate / Bank details, I/we have to cancel the existing Mandate and provide a new Mandate with revised details submitted to Grovalue Securities Pvt. Ltd., #125 1st Floor, Thakur Complex, Asha Nagar, W.E.Highway, Kandivali (E), Mumbai-400101.
3. The Company may reject Mandate application due to any reason.
4. The Company will not be liable for any transaction failure due to rejection by my bank under any reason. I/we will not hold the Company, its affiliates or any service providers responsible if a transaction is delayed or not effected by my bank.
5. I/we will pay separately for margin shortfall in FNO/Currency segment.

Along with this form also attached is the Mandate for NACH Direct Debit form with details of the bank account which needs to be debited under NACH.

Signature of Client : _____

UMRN Date: Sr. No. _____

Create Sponsor Bank Code. **HDFC0000060** Utility Code. **HDFC01654000012278**
 Modify I/We hereby authorize **Grovalue Securities Pvt. Ltd.** to debit (tick ✓) SB CA CC Other
 Cancel Bank A/c no.: (Destination Bank Account Number)

With Bank _____ (Name of Destination Bank with Branch) IFSC or MICR
 an amount of Rupees _____ amount in words ₹ _____ amount in figures

FREQUENCY: Monthly Quarterly Half Yearly Yearly As & When Presented DEBIT TYPE Fixed Amount Maximum Amount

Reference 1 _____ Client Code _____ Phone No.: _____
 Reference 2 _____ For Office Use Only _____ Email ID: _____

		PERIOD							
From :		D	D	M	M	Y	Y	Y	Y
To:		X	X	X	X	X	X	X	X
Or	<input checked="" type="checkbox"/>								

1. Signature of Account Holder
 2. Signature of Account Holder
 3. Signature of Account Holder
 1. Name of Account Holder
 2. Name of Account Holder
 3. Name of Account Holder

I/We hereby declare that the particulars given on this mandate are correct and complete. If the transaction is delayed or not effected at all reasons of incomplete or incorrect information, I/We would not hold Grovalue Securities Pvt Ltd. responsible. I/We have read the option invitation letter and agree to discharge the responsibility expected of me/us as a participant/s under the scheme. Me authorize use of above mentioned contact details for the purpose of this specific mandate instruction processing. Me hereby confirm adherence to terms on this mandate.

INFORMATION ON PREVENTION OF MONEY LAUNDERING ACT, 2002 (PMLA)

Due to the tightened security and increased vigilance in the wake of threats emanating from increasing terrorism. any failure on our part to discharge our duties cast on us under the applicable laws or we becoming an instrumental or a part of the chain in certain transaction, even if unknowingly or ignorantly, may land us in trouble. There is an Act called Prevention of Money Laundering Act 2002. (PMLA).

The purpose of this act is to prevent the financing of terrorism and to prevent laundering of money i.e. to legalize or officialize or canalise the money generated from illegal activities like drug trafficking, organized crimes, hawala rackets and other serious crimes. This act is a part of the Global measures being taken by all the countries under the initiatives of UN agencies.

It is applicable to all SEBI Registered brokers/sub-brokers and other financial institution who are dealing in any kind of financial assets. It is an obligation of the entities to whom this Act is applicable, to report certain kind of transactions routed through them to FINANCIAL INTELLIGENCE UNIT.

No trading or demat account can be opened in the name of entity whose name is listed on the banned entity list being maintained at United Nation's website at <http://www.un.org/sc/committees/1267/consolist.shtml>. While opening the new accounts all the prescribed procedures of KYC and Client Identifications should strictly be followed in the context of ensuring the compliance under this act.

The end clients are advised to co-operate with us by providing the additional information / documents, if asked for during the course of your dealings with us to ensure the compliance requirements under this Act. As a responsible citizen, it is our statutory as well as moral duty to be vigilant and to refrain from temptation of easy monetary gains, by knowingly or unknowingly supporting the people who are involved in the activities which are endangering our freedom and causing damage to the nation and to us as well.

You are advised to go through the SEBI's master circular dated 31.12.2010 at http://www.sebi.gov.in/cms/sebi_data/attachdocs/1295933371907.pdf for detailed information and understanding and also to visit the website of FIU-IND at <http://fiuindia.gov.in>

ACKNOWLEDGEMENT LETTER

Non - Mandatory

Date :

To,

Grovalue Securities Pvt Ltd.

#77 'V Mall' Ground Floor,
Thakur Complex, Asha Nagar,
W.E. Highway, Kandivali (E),
Mumbai - 400 101.

Dear Sir or Madam,

I/We hereby acknowledge receipt of the following documents.

1. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading.)
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don't for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
5. The Grovalue Securities Pvt. Ltd. Tariff Sheet.
6. General Terms and Conditions governing securities trading and broking services of Grovalue Securities Pvt. Ltd.
7. All other mandatory and voluntary client registration documents.
8. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.
9. Please specify your preference for receiving account opening kit in Electronic Physical

The above mentioned documents are also available in the vernacular languages and are on NSE website at http://www.nseindia.com/membership/content/compline_trading_mem.htm and can be downloaded.

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will. I state that I have read and understood all above documents and these documents are binding upon me.

Yours faithfully,

First / Sole Holder Signature	Second Joint Holder Signature	Third Joint Holder Signature
		